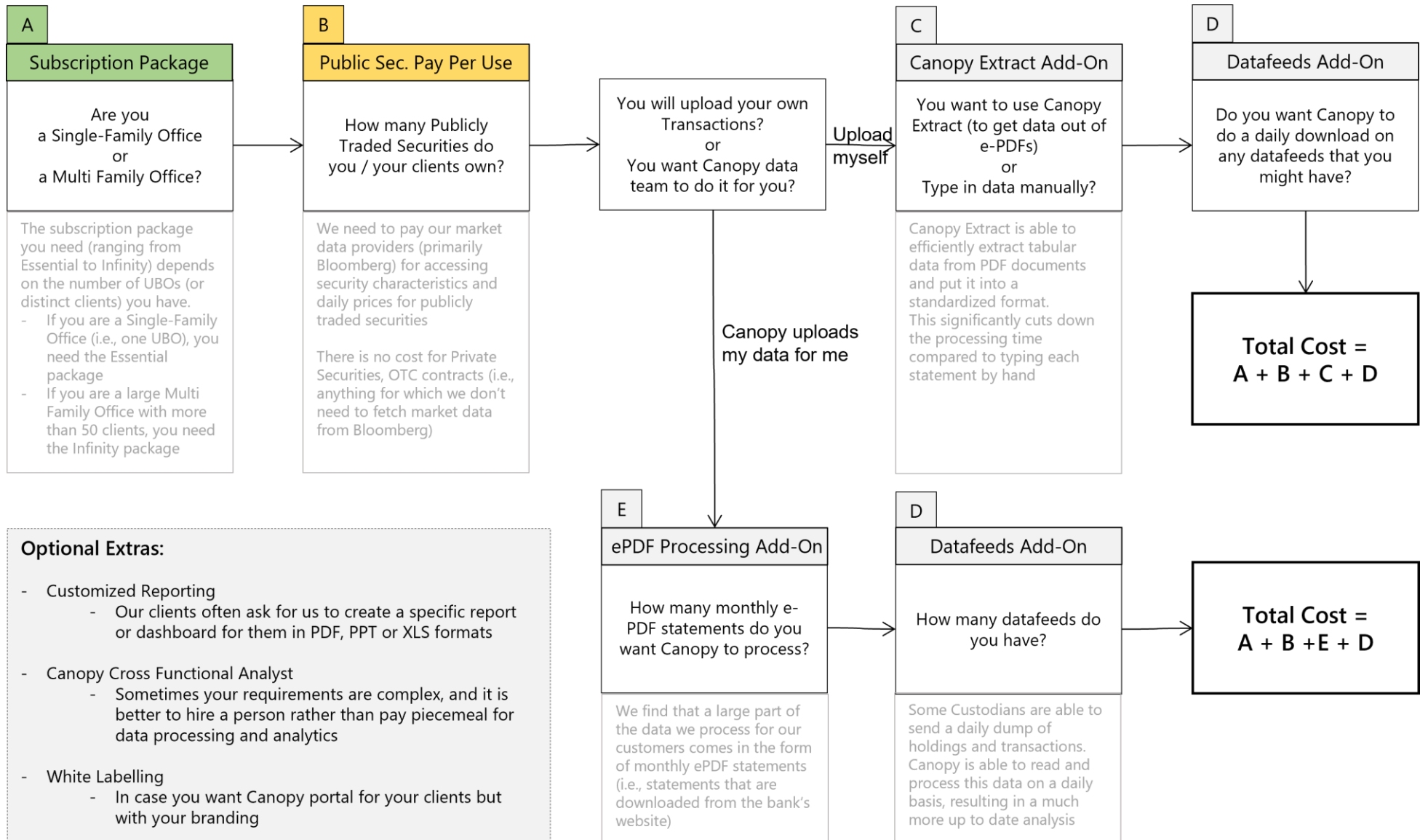




Canopy Pricing

How to use this document





Subscription Packages

A	Essential USD 10,000 / Year	Grow USD 20,000 / Year	Scale USD 25,000 / Year	Infinity USD 50,000 / Year
No. of Ultimate Beneficial Owner(s)	1	Up to 5	Up to 50	Unlimited
Features	<ul style="list-style-type: none"> - Canopy Reporting Software (Visualizer) - Backend Engine - Excel Uploader 2.0 - Excel Add-in - Open API Access 	<ul style="list-style-type: none"> - Canopy Reporting Software (Visualizer) - Backend Engine - Excel Uploader 2.0 - Excel Add-in - Open API Access 	<ul style="list-style-type: none"> - Canopy Reporting Software (Visualizer) - Backend Engine - Excel Uploader 2.0 - Excel Add-in - Open API Access - Database Access - 1 Tableau Online License - Canopy Premium Reports 	<ul style="list-style-type: none"> - Canopy Reporting Software (Visualizer) - Backend Engine - Excel Uploader 2.0 - Excel Add-in - Open API Access - Database Access - 2 Tableau Online License - Canopy Premium Reports
Public Security Downloads	Refer below for pay-per-use pricing	Refer below for pay-per-use pricing	Refer below for pay-per-use pricing	Refer below for pay-per-use pricing
Datafeeds Setup and Maintenance	Refer below for add-on pricing	Refer below for add-on pricing	Includes setup of 2 direct datafeeds in this list . <i>Additional datafeeds setup available as add-on option</i>	Includes setup of 4 datafeeds direct in this list . <i>Additional datafeeds setup available as add-on option</i>
ePDF Processing by Canopy	Refer below for pay-per-use pricing	Refer below for pay-per-use pricing	Refer below for pay-per-use pricing	Refer below for pay-per-use pricing
Customized Report	Refer below for add-on pricing	Refer below for add-on pricing	Refer below for add-on pricing	USD 1000 Rebate
Client Support	General Client support	General Client Support	Dedicated Account Manager	Dedicated Account Manager
Analytics Dashboard Training	-	-	4 Hours	8 Hours
Canopy Cross-function Analyst	-	-	-	10% Off Monthly Fee

Add-on and Pay-per-use Options

<p>Public Security B Pay per Use</p> <p><=50: USD 125 per quarter 51-200: USD 450 per quarter 201-500: USD 1000 per quarter 501-2000: USD 3400 per quarter >2000: USD 1.30 per security per quarter</p> <p><i>No. of unique public securities purchased or held will be tabulated at the end of each calendar quarter.</i></p> <p>Includes:</p> <ul style="list-style-type: none"> - Bloomberg daily download of security prices from date of download trigger - Quarterly update of security master - Historical prices - As per the terms of our license, you are <u>not able to download raw data of security prices</u> 	<p>Datafeeds D Add-On</p> <p><u>Setup</u> USD 2000 for existing datafeeds USD 5000 for new datafeeds</p> <p><u>Maintenance</u> USD 200 per month for every 200 sub-accounts (waived with cross-function analyst add-on)</p> <p><u>Historical Data</u> USD 200 for up to 200 Data Units</p> <p>Includes:</p> <ul style="list-style-type: none"> - Bank liaison to establish data feed connection - Normalization of bank datasets - Data cleansing, resolving data reconciliation breaks, corporate action investigations 	<p>ePDFs Processing E Add-On</p> <p>USD 75 per Set of ePDFs</p> <p><i>Applicable to ongoing and historical data</i></p> <p>Includes:</p> <ul style="list-style-type: none"> - Document dump and vetting - Data extraction - Dataset normalization - Data reconciliation - Custodian clarification 	<p>Cross-function Analyst Add-On</p> <p>USD7000 per month</p> <p><i>Half headcount option available. Inclusions will be adjusted accordingly.</i></p> <p>Includes:</p> <ul style="list-style-type: none"> - 160 business hours per month - Minimum of 50 data units processed via ePDFs - Depending on complexity of ePDFs, one headcount may process up to 300 data units via ePDFs - Any extra capacity may be utilized for other requests, subject to Canopy's agreement 	<p>Non-ePDFs Processing / Non-standard Requests</p> <p>USD 75 per hour</p> <p>Includes (but not limited to):</p> <ul style="list-style-type: none"> - Booking of custodian specific FX rates - Reconciliation of datafeeds and ePDFs - Processing of trade advice - Booking of accrued interests for non-listed securities (e.g., deposits and loans)
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Add-on and Pay-per-use Options

<p>Canopy Extract Add-On C</p> <p><u>Software</u> USD 10,000 per year</p> <p><u>New Configuration File Setup</u> USD 150 per configuration file</p> <p>Canopy Extract is a software that helps extract tabular data in a PDF document into an Excel sheet</p> <p>Includes:</p> <ul style="list-style-type: none"> - Canopy existing configuration file directory - Periodic software enhancements 	<p>Customized Report Add-On</p> <p><u>Setup</u> Variable depending on report complexity</p> <p><u>Maintenance</u> Variable depending on report complexity</p> <p>Canopy is happy to create Customized Reports as required</p> <p>Includes:</p> <ul style="list-style-type: none"> - Requirement analysis and planning - End-to-end solution design - Input of standard/custom data - Data validation - Post-implementation review 	<p>White-label Add-On</p> <p><u>Setup</u> USD 8000</p> <p><u>Maintenance</u> USD 100 per month</p> <p>Includes:</p> <ul style="list-style-type: none"> - Corporate logo on portal and reports - Customized URL - Security certificate for customized URL - Customized color scheme 	<p>Canopy End-client Portal</p> <p>USD30 per month for each end-client</p> <p>Includes:</p> <ul style="list-style-type: none"> - End-client direct access to Canopy portal - End-client direct access to reports and analytics - Option to add multiple users to access the same account - Option to enable 2FA authentication - Client support through online chat 	<p>Analytics Dashboard Creation Training</p> <p>USD 75 per hour</p> <p>Includes:</p> <ul style="list-style-type: none"> - Introduction to Canopy database structure - Connection between database and Tableau - Basic Tableau tools - Live demonstration of dashboards creation
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Sample Pricing(s)

- Single Family Office doing Self Uploads

Number	Customer Use Case	Pricing	Cost
1.	Single Family Office	1 UBO means 'Essential' package	USD 10,000 / Year
	6 Custodian Banks	No additional cost	
	Self Upload	No ePDF processing by Canopy, No Canopy Cross Functional Analyst	
	Average 150 Public Securities	Incurs Public Security Download cost from Bloomberg	USD 450 per quarter (USD 1,800 / Year)
	15 Private Equity Funds	No additional cost	
	Real Estate Holdings	No additional cost	
	OTC derivatives	No additional cost	
		Total Pricing (min. 1 year contract)	USD 11,800 / Year

- Single Family Office with Canopy processing e-PDFs from Custodian Banks

Number	Customer Use Case	Pricing	Cost
2.	Single Family Office (as in 1 above)	Pricing as in 1 above	USD 11,800 / Year
	+ Canopy Data Uploads monthly e-PDF statements from these 6 Custodian Banks	USD 75/- per monthly	75 per statement x 6 banks x 12 months = USD 5,400 / Year
	+ Rest of the data (e.g. Private Equity, Real Estate etc.) continues to be Self Upload	No additional charge	
		Total Pricing (min. 1 year contract)	USD 17,200 / Year



- Single Family Office with a mix of Canopy processing e-PDFs + Datafeeds

Number	Customer Use Case	Pricing	Cost
3.	Single Family Office (as in 1 above)	Pricing as in 1 above	USD 11,800 / Year
	+ Canopy Data Uploads monthly e-PDF statements from 4 Custodian Banks	USD 75/- per monthly	75 per statement x 4 banks x 12 months = USD 3,600 / Year
	+ 2 Custodian Banks (who have existing datafeeds from Canopy) provide daily datafeeds	USD 2,000 per Bank one-time setup cost USD 200/- per Bank Monthly cost	USD 4,000 setup plus USD 2 x 200 x 12 = USD 4,800 / Year
	+ Rest of the data (e.g. Private Equity, Real Estate etc.) continues to be Self Upload	No additional charge	
		Total Pricing (min. 1 year contract)	USD 4,000 setup + USD 20,200/- p.a.

- Multi Family Office with Datafeeds from 2 Custodians

Number	Customer Use Case	Pricing	Cost
4.	Multifamily Office / EAM with 25 clients	25 UBOs means Scale Package	USD 25,000 / Year
	+ 2 Custodian Banks (who have existing datafeeds from Canopy) provide daily datafeeds	USD 2,000 per Bank one-time setup cost USD 200/- per Bank Monthly cost for upto 200 customers	USD 4,000 setup plus USD 2 x 200 x 12 = USD 4,800 / Year
	+ Rest of the data (e.g. Private Equity, Real Estate etc.) continues to be Self Upload	No additional charge	
		Total Pricing (min. 1 year contract)	USD 4,000 setup + USD 29,800/- p.a.



Definitions

Ultimate Beneficial Owner (UBO) is the individual/family who is the end beneficiary of a custodian account.

ePDF refers to a custodian's official statements in electronic PDF format. This is a machine-readable version of PDF where text can be highlighted and extracted. If PDFs are scanned or of poor quality (eg. due to alteration of the initial file), processing of these will fall under the 'Non-ePDF Processing' add-on billable at USD 75 per hour.

A Set of ePDFs refers to a set of cash, holdings and transactions data for the same period for one sub-account. Multiple Sets of PDFs for the same sub-account could be uploaded for different date periods within the month.

Example: Assuming there are 4 weeks in a month and a client uploads statements for one sub-account weekly. This equates to a total of 4 Sets of ePDFs received in a month.

Data Unit refers to all data relating to one sub-account for a particular month. One Data Unit may consist of multiple Sets of ePDFs.

Example: Assuming there are 4 weeks in a month and a client uploads statements for one sub-account weekly. This equates to a total of 4 Sets of ePDFs but only 1 Data Unit.